07-21-04



UNITED STATES DEPARTMENT OF COMMERC

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/708,013

720 OLIVE STREET

ST. LOUIS, MO 63101

SUITE 2400

27128

02/02/2004

Brian K. Fettig

717841.6

CONFIRMATION NO. 2012

FORMALITIES LETTER

OC000000012733257

Date Mailed: 05/20/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

BLACKWELL SANDERS PEPER MARTIN LLP

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

\$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply. 10708013

07/22/2004 DEMMANU1 00000067 110160

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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/17 (01/03)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known **FEE TRANSMITTAL** Application Number 10/708,013 JUL 2 9 200 **fo**r **FY 2004** Filing Date February 2, 2004 First Named Inventor

Attorney Docket No.

717841.6

Brian K. Fettig, et al. Effective 10/01/2003. Patent fees are subject to annual revision. **Examiner Name** Not yet assigned Art Unit 3621 Applicant claims small entity status. See 37 CFR 1.27

(\$) 130.00

Check	METHOD OF PAYMENT (check one)			FEE CALCULATION (continued)						
Deposit Account Number	Check Credit card Money Other None			3. ADDITIONAL FEES						
Account Number 11-0160			Large	Entity	Small	Entity				
Deposit Account Acco	Deposit Account 11-0160						Fee Description	Fee Paid		
Such angle Suc			1051	130	2051	65	Surcharge - late filing fee or oath	130.00		
State Stat	Account BLACKWELL SANDERS PEPER N	MARTIN LLP	1052	50	2052	25				
State Stat	The Commissioner is authorized to: (ch	eck all that apply)	1053	130	1053	130	Non-English specification			
Samiler action Examiler action Extension for reply within first month Extension for reply within third month Extension for reply within third month Extension for reply within third month Extension for reply within first month Extension for re			1812	2,520	1812	2,520	For filing a request for ex parte reexamination			
Second S	Charge any additional fee(s) during the pendency of this application		1804	920*	1804	920*	, , ,			
1. BASIC FILLNG FEE 1252 420 2252 210 Extension for reply within second month 1253 950 2253 475 Extension for reply within third month 1253 950 2253 475 Extension for reply within fourth month 1253 950 2253 475 Extension for reply within fourth month 1255 1				1,840*	1805	1,840*				
Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Fee Code (\$) 1254 1,480 1254 1,480 1255 1,005 1155 1155 1,005 1155 1,005 1155 1155 1,005 1155 1155 1,005 1155 1155 1155 1,005 1155 1155 1155 1,005 1155 1155 1155 1,005 1155 1155 1155 1,005 1155		V	1251	110	2251	55	Extension for reply within first month			
Fee Fee	1. BASIC FILING FEE		1252	420	2252	210	Extension for reply within second month			
Code Cy Code	Large Entity Small Entity		1253	950	2253	475	Extension for reply within third month			
1001 770 2001 385 Utility filing fee 1255 2,010 1155 1,005 Extension for reply within fifth month 1002 340 2002 170 Design filing fee 1401 330 2401 165 Notice of Appeal 1003 530 2003 265 Plant filing fee 1402 330 2402 165 Filing a brief in support of an appeal 1004 770 2004 385 Reissue filing fee 1403 290 2403 145 Request for oral hearing 1005 160 2005 80 Provisional filing fee 1451 1,510 2452 55 Petition to revive - unavoidable 1451 1,510 2452 55 Petition to revive - unintentional 1501 1,330 2501 665 Utility issue fee (or reissue) 1502 480 2502 240 Design issue fee 1460 130 Petitions to the Commissioner 1503 640 2503 320 Plant issue fee 1460 130 Petitions to the Commissioner 1606 180 1806 1806 180 1806 180		escription Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month			
1003 530 2003 265 Plant filing fee 1402 330 2402 165 Filing a brief in support of an appeal 1004 770 2004 385 Reissue filing fee 1403 290 2403 145 Request for oral hearing 1005 160 2005 80 Provisional filing fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable 1453 1,330 2453 665 Petition to revive - unintentional 1453 1,330 2453 665 Petition to revive - unintentional 1501 1,330 2501 665 Utility Issue fee (or reissue) 1501 1,330 2501 665 Utility Issue fee (or reissue) 1502 480 2502 240 Design Issue fee 1460 130 Petitions to the Commissioner 1460 130 Petitions to the Commission of Information Disclosure Strate 1460 140		filing fee	1255	2,010	1155	1,005	Extension for reply within fifth month			
1004 770 2004 385 Reissue filing fee 1403 290 2403 145 Request for oral hearing 1405 1451 1,510 1,	1002 340 2002 170 Design	filing fee	1401	330	2401	165	Notice of Appeal			
1451 1,510 1,510 1,510	1003 530 2003 265 Plant fi	iling fee	1402	330	2402	165	Filing a brief in support of an appeal			
SUBTOTAL (1) (\$ 1452 110 2452 55 Petition to revive - unavoidable	1004 770 2004 385 Reissu	e filing fee	1403	290	2403	145	Request for oral hearing			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from	1005 160 2005 80 Provisio	nal filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding			
Substitute Sub	SUBTOTAL	. (1) (\$	1452	110	2452	55	Petition to revive - unavoidable			
Total Claims	2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1453	1,330	2453	665	Petition to revive - unintentional			
Total Claims			1501	1,330	2501	665	Utility issue fee (or reissue)			
Independent Claims Multiple Dependent Large Entity Small Entity Fee Fee Fee Fee Fee Fee Description Code (\$) 1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claims, if not paid sover original patent 1204 86 2204 43 ** Reissue independent claims over original patent SUBTOTAL (2) (\$) Plant issue fee 1503 640 2503 320 Plant issue fee 1640 130 Petitions to the Commissioner 1807 50 Processing fee under 37 CFR 1.17(q) 1807 50 Processing fee under 37 CFR 1.17(q) 1808 1809 1806 180 Submission of Information Disclosure Stmt 2809 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1809 1802 900 Request for Continued Examination (RCE) 1809 1802 900 Request for expedited examination of a design application Other fee (specify)			1502	480	2502	240	Design issue fee			
Claims Multiple Dependent Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) 1202 18 2202 9 Claims in excess of 20 1806 180 1806 180 Submission of Information Disclosure Stmt 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) 1806 180 Submission of Information Disclosure Stmt 1806 180 Submission of Information Disclosure Stmt 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) 1808 1809 1809 1809 1809 1809 1809 1809							-			
Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Fee Description Code (\$) 1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 ** Reissue independent claims over original patent 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) 1807 50 Processing fee under 37 CFR 1.17(q) 1807 50 Processing fee under 37 CFR 1.17(q) 1807 50 Processing fee under 37 CFR 1.17(q) 1808 1800 Submission of Information Disclosure Stmt 8021 40 Recording each patent assignment per property (times number of properties) Filing a submission after final rejection (37 CFR 1.129(a)) 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1801 770 2801 385 Request for Continued Examination (RCE) 1802 900 1802 900 Request for expedited examination of a design application Other fee (specify)	independent		1303	0.10	2303	J20	Fight issue ree			
Fee Fee Fee Fee Fee Pee Fee Pee Fee Pee Fee Pee P	Multiple Dependent	=	1460	130	1460	130	Petitions to the Commissioner			
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20 8021 40 8021 40 Recording each patent assignment per property (times number of properties) 1201 86 2201 43 Independent claims in excess of 3 1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1203 290 2203 145 Multiple dependent claim, if not paid 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1204 86 2204 43 ** Reissue independent claims over original patent over original patent 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) Other fee (specify)	Large Entity Small Entity		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)			
property (times number of properties) 1201 86 2201 43 Independent claims in excess of 3 1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1203 290 2203 145 Multiple dependent claim, if not paid 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1204 86 2204 43 ** Reissue independent claims over original patent 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) Other fee (specify)	Code (\$) Code (\$)	cription	1806	180	1806	180	Submission of Information Disclosure Stmt			
1203 290 2203 145 Multiple dependent claim, if not paid 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1204 86 2204 43 ** Reissue independent claims over original patent 2205 9 ** Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) CFR 1.129(a)) 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1802 900 1802 900 Request for Continued Examination (RCE) 1802 900 Request for expedited examination of a design application	1202 18 2202 9 Claims in e	xcess of 20	8021	40	8021	40				
2204 43 ** Reissue independent claims over original patent 2205 9 ** Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) Examined (37 CFR 1.129(b)) 1801 770 2801 385 Request for Continued Examination (RCE) 1802 900 1802 900 Request for expedited examination of a design application Other fee (specify)	1201 86 2201 43 Independen	t claims in excess of 3	1809	770	2809	385				
over original patent 2205 9 ** Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) SUBTOTAL (2) (\$) SUBTOTAL (2) (\$) Over original patent 1802 900 1802 900 Request for expedited examination of a design application Other fee (specify)	1203 290 2203 145 Multiple depe	endent claim, if not paid	1810	770	2810	385				
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) 1802 900 Request for expedited examination of a design application Other fee (specify)				770	2801	385	Request for Continued Examination (RCE)			
SUBTOTAL (2) (\$) Other fee (specify)	1205 18 2205 9 ** Reissue claims in excess of 20		1802	900	1802	900	•			
**or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130.00	· · · · · · · · · · · · · · · · · · ·			, , , , , , , , , , , , , , , , , , , ,			- ··			
	**or number previously paid, if greater; For Reissues, see above		*Reduc	ed by Basi	ic Filing F	ee Paid	SUBTOTAL (3) (\$) 130	.00		

SUBMITTED BY (Complete (if applicable)) Name Registration No. 46, 132 Telephone 314-345-6000 (Print/Type) (Attorney/Agent) Date Signature 0

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	OIPE	Application Number	10/708,013	
	TRANSMITTAL	Filing Date	February 2, 2004	
305	0.000% 1.1		First Named Inventor	Fettig, Brian K.
	(tổ be used for all correspondence after initial	filing)	Group Art Unit	3621
	(to be used for all correspondence after initial	.	Examiner Name	Not yet assigned
	▶	8	Attorney Docket Number	717841.6

ENCLOSURES (check all that apply)						
Fee Transmittal Form		Drawing(s)		After Allowance Communication to Technology Center (TC)		
Fee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply		Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After Final		Petition to Convert to a Provisional Application		Proprietary Information		
Affidavits/declaration	n(s)	Power of Attorney, Revocation Change of Correspondence Address		Status Letter		
Extension of Time Requ	est	Terminal Disclaimer		Other Enclosure(s) (please identify		
Express Abandonment F	tequest	Request for Refund		below); Declaration; Statement Under 37 CFR 3.73b with copy of		
Information Disclosure Statement		CD, Number of CD(s)		Assignment; Part 2 Copy – Notice to File Missing Parts; return postcard		
Certified Copy of Priority Document(s)						
Response to Missing Pa						
	Response to Missing Parts under 37 CFR 1.52 or 1.53					
	SIGNAT	URE OF APPLICANT, ATTORNEY, C	R AGE	NT		
Firm or Mark E. Stallion, Reg. No. 46,132 Individual Name Blackwell Sanders PeperMarin 1997						
Signature // // // // // // // // // // // // //						
Date (14/1/26) 04						
CERTIFICATE OF TRANSMISSION/MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Express Mail Label No.: EV390576809US						
Typed or printed name	Typed or printed name Jennifer Christopher					
Signature Quinter Christopher Date 20 July 04						

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Brian K. Fettig, et al.

Examiner: Not yet assigned

Serial No.: 10/708,013

Group Art Unit: 3621

Filed: February 2, 2004

Attorney Docket No.: 717841.6

For: METHOD AND APPARATUS FOR

PROVIDING INTEGRATED

MANAGEMENT OF POINT-OF-SALE

AND ACCOUNTS RECEIVABLE

Customer No.: 027128

Confirmation No.: 2012

RESPONSE

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application, dated May 20, 2004, Applicants enclose herewith:

- 1. Transmittal;
- 2. Fee Transmittal;
- 3. The original, signed Declaration signed by the inventors;
- 4. Power of Attorney;
- 5. Statement Under 37 CFR 3.73(b) with Copy of Assignment;
- 6. Copy of the Notice to File Missing Parts of Nonprovisional Application;



Application of: Brian K. Fettig, et al.

Serial No.: 10/708,013 Response to Missing Parts

7. Return Receipt Postcard.

Respectfully submitted,

Mark E. Stallion

Reg. No. 46,132

Blackwell Sanders Peper Martin LLP

720 Olive Street, 24th Floor St. Louis, Missouri 63101

(314) 345-6000

ATTORNEYS FOR APPLICANT